Annex to the Board of Directors' decision

"Almaty Electric Stations" JSC from "29 " May 2023

(Minutes No. 06/23)

Regulations on Information Disclosure

Document owner	CD&RM
Editorial	2
Year	2023

Almaty

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1. Purpose and scope of application

1.1 These Regulations are an internal document of Almaty Power Plants Joint-Stock Company (hereinafter referred to as AlES JSC/Society), determining:

−list of information disclosed to stakeholders;

−terms, procedure, method and form of information disclosure;

− SD and employees of AlES JSC responsible for timely and qualitative disclosure of information.

1.2 SD and employees of AlES JSC shall ensure fulfillment of information disclosure requirements in accordance with this Regulation and Appendices 1, 2 thereto, legislation of the Republic of Kazakhstan and other internal regulatory documents of the ALES JSC

2. Terms and abbreviations

The term | abbreviation	Determination
Rules	Rules of Information Disclosure by the Issuer, approved by the Resolution of the Board of the National Bank of the Republic of Kazakhstan dated August 27, 2018 No. 189
Internet resource of the depositary financial statements	a mass media outlet available on the Internet at "www.dfo.kz"
FSD	financial statement depository
SSC	structural subdivision of the Company
A&RD	accounting and reporting department
OHSD	Occupational health and safety department
EM	environmental management
TD	treasury department
CRD&M	corporate development and management
EM	economic management
HRM	human resource management
LD	legal department

3. Responsibility

3.1 Responsibility for the development and updating of the Regulations lies with the Head of CD&RM.

3.2 Responsibility for untimely submission of information to CD&RM in accordance with Annexes 1, 2 of the Regulations, as well as inaccurate and unreliable information, shall be borne by SSCs that submitted the information.

3.3 In case of untimely publication of information on the Internet resource of the Depositary of financial statements, as a result of which the authorized body has imposed a fine on the Company , the SSC that has not timely provided the information shall initiate the preparation of a memo to the Chairman of the Management Board of "AlES" JSC on the necessity to pay the fine, attaching a copy of the relevant resolution of the authorized body.

3.4 Responsible SSCs within their competence shall ensure preparation of reliable and complete information to be disclosed in accordance with the requirements of the legislation of the Republic of Kazakhstan, the Charter of JSC "AlES", these Regulations and other internal regulatory documents of JSC "AlES".

4. List, procedure, terms of information disclosure, as well as persons responsible for information disclosure

4.1 Provision and placement of information on the internet resource of the depository financial statements

4.1.1 Information disclosure is carried out in accordance with Article 102 of the Law of the Republic of Kazakhstan "On Securities Market" and requirements of the Rules of Information Disclosure by the Issuer approved by the Resolution of the Board of the National Bank of the Republic of Kazakhstan dated August 27, 2018 No. 189 (hereinafter - the Rules).

4.1.2 The list, terms of disclosure of information subject to mandatory publication on the Internet resource of the financial reporting depository (hereinafter - FSD) are specified in Appendix 1 to the Regulations.

4.1.3 SPOs, specified as responsible executors according to Annex 1 to the Regulations, shall submit all necessary information and documents to CD&RM in compliance with the requirements of the legislation of the Republic of Kazakhstan and deadlines for its submission according to Annex 1 to the Regulations.

4.1.4 Disclosure of information on paragraphs 23 - 25 of Annex 1 to the Regulations in the FSD shall be provided by the A&RD in accordance with the requirements of the Rules. Electronic notification of the results of processing of the electronic report shall be signed in accordance with the Rules and stored in the UBU&E.

4.1.5 Disclosure of information under item 22 of Annex 1 to the Regulation in the FSD shall be provided by the LD in accordance with the requirements of the Rules. The electronic notification on the results of processing of the electronic report shall be signed in accordance with the Regulation and shall be kept by the LD.

4.1.6 Methodological assistance to structural subdivisions on provision and placement of information on the FSD is provided by CD&RM.

4.1.7 CD&RM shall control the introduction of additions and amendments to these Regulations.

4.2 Preparation and placement of the Annual on the corporate website of AlES JSC

4.2.1 In accordance with paragraph 5 of Chapter 7 of the Corporate Governance Code of Samruk-Energy JSC, companies and organizations whose shares are traded on the stock exchange should prepare an

annual report in accordance with the provisions of the Corporate Governance Code of Samruk-Energy JSC and best practices of information disclosure.

4.2.2 The list, terms of submission of information to be included in the Annual Report of AlES JSC (hereinafter referred to as the Annual Report) are specified in Appendix 2 to the Regulations.

4.2.3 The list of information contained in Annex 2 to the Regulations is not exhaustive; if other information is required, the CD&RM has the right to request it from the relevant SSC, which provide the information within the terms specified by the CD&RM.

4.2.4 The SSCs specified as responsible in Appendix 2 to the Regulations shall submit information for inclusion in the Annual Report to CD&RM Department no later than the terms specified in Appendix 2 to the Regulations, in compliance with the requirements of the Corporate Governance Code of Samruk-Energy JSC and these Regulations.

4.2.5 CD&RM ensures preparation and posting of the Annual Report approved by the Board of Directors of AlES JSC on the corporate website of AlES JSC not later than August 31 following the reporting period.

4.3 Procedure for disclosure of information on the corporate website of AlES JSC

4.3.1 The corporate website of JSC "AlES" should be well structured, user-friendly and contain information required by the stakeholders.

4.3.2 Responsible executors of AlES JSC shall control completeness and relevance of information posted on the corporate website of AlES JSC, as well as the identity of this information in the state, Russian and English languages (if necessary).

4.3.3 The corporate website of AlES JSC shall obligatory contain the information specified in the Rules of Provision and Approval of Information Materials for the website of AlES JSC.

4.3.4 Responsible SSCs within the terms specified in the Rules of Provision and Approval of Information Materials for the "AlES" JSC website shall provide information to the CD&RM for updating the information on the corporate website of "AlES" JSC.

4.4 Disclosure of information on KASE's Internet resource

Information disclosure on KASE's Internet resource is carried out by responsible SSCs in accordance with Listing rules of Kazakhstan Stock Exchange JSC.

4.5 Publication of information in mass media

4.5.1 AlES JSC places press releases, messages, articles, interviews and other information in Kazakhstan's and foreign mass media as the need for such placement arises.

4.5.2 Public statements of employees about AlES JSC shall comply with the Information Policy of Samruk-Energy JSC and internal regulatory documents of AlES JSC.

4.5.3 Members of the Management Board and other authorized employees of "AlES" JSC may make public speeches on issues related to the activities of "AlES" JSC within their competence, including through the corporate website of "AlES" JSC, with mandatory preliminary informing of the Chairman of the Management Board of "AlES" JSC not later than 24 (twenty-four) hours before the date of the speech.

4.5.4 The subject matter and content of speeches and public statements in mass media of all authorized employees of "AlES" JSC, except for the Chairman and members of the Management Board of "AlES" JSC, shall be agreed with the Press Secretary of "AlES" JSC.

4.5.5 Press releases, articles, interviews, responses to media inquiries on current activities of AlES JSC are prepared by responsible persons and sent to the Press Secretary of AlES JSC for approval.

4.5.6 Responsibility for untimely provision of information to the Spokesperson of "AlES" JSC for dissemination in mass media, as well as unreliable and inaccurate information, shall be borne by employees of the relevant structural subdivisions, as well as their immediate supervisors.

4.5.7 All media inquiries shall be immediately forwarded to the Spokesperson of AlES JSC to decide on the methods of responding to them.

4.5.8 Media inquiries, including those received from journalists by phone to the SSC, shall be obligatorily forwarded to the Press Secretary of "AlES" JSC.

4.5.9 Upon receipt of information for the media from the SPO, the Spokesperson of "AlES" JSC shall review it for the necessity of coverage/publication within 3 (three) working days from the date of receipt.

4.6 Publication of information in brochures and booklets

AlES JSC publishes information on its activities in brochures and booklets as the need for such publication arises.

4.7 Final provisions

4.7.1 Organization of control over the safety of data and information constituting commercial secrets is established by the Regulations of "AlES" JSC "Commercial Secrets"

4.7.2 AlES JSC shall provide the interested parties with information, disclosure of which is stipulated by the legislation of the Republic of Kazakhstan, Corporate Governance Code of Samruk-Energy JSC, Information Policy of the Almaty Power Complex, Regulations of AlES JSC "Commercial Secret", Rules of Internal Control of Disposal and Use of Insider Information of AlES JSC and these Regulations, within 15 (fifteen) calendar days from the date of receipt by AlES JSC of the relevant request, unless other terms are stipulated by the law.

4.7.3 The Corporate Secretary of AlES JSC shall assist in disclosure of information specified in the Regulation.

4.7.4 The head of the SSC may determine executors to perform specific tasks in the area of information disclosure, including if this function is not specified in the job description of the executor.

5. Regulatory References

№	Title of document
1	Law of the Republic of Kazakhstan "On Securities Market"

2	Rules of Information Disclosure by the Issuer, approved by the Resolution of the Board of the National Bank of the Republic of Kazakhstan dated August 27, 2018 No. 189
3	Listing Rules of Kazakhstan Stock Exchange JSC
4	Corporate Governance Code of Samruk-Energy JSC
5	Information Policy of Samruk-Energy JSC
6	Rules for submission and approval of information materials for the website of JSC "AlES"
7	Regulations of JSC "AlES" "Commercial Secret"
8	Rules of Internal Control of Disposal and Use of Insider Information of AlES JSC

6. Documents and records

No. n/a	Name of document | records	Performer	Storage location	Shelf life
	Electronic notification of the results of processing of the electronic report by the financial reporting depository organization	CD&RM, A&RD, LD	CD&RM, A&RD, LD	UNLR
	Annual Report of "AlES" JSC	CD&RM	CD&RM	UNLR

Appendix 1.

List of information to be provided to the SSC responsible for posting information on the Internet resource of the financial reporting depository (hereinafter referred to as "FSD")

№	Type of information	Content of information	Disclosure deadlines	SSCs providing e information in SSC responsible for placement information on FSD	SSCs respo for for placing informatio the FS

1.	Information on o resolutions, opted by general assembly shareholders (participants) by or the sole shareholder (participant)	1) date, time and place of the general meeting of shareholders (participants) of the issuer; 2) issues included in the agenda of the general meeting of shareholders (participants) of the issuer; 3) Resolutions adopted by the General Meeting of Shareholders of the joint stock company (participants), indicating results (results) of the vote; 4) in case the General Meeting of Shareholders of the joint stock company (members) decides on voluntary liquidation or voluntary reorganization of the issuer of its subsidiaries: location, name of the legal entity being reorganized or liquidated; shape и terms reorganization of the issuer; 5) other information, if necessary. If the issuer has a sole shareholder (participant), the date of the resolution of the sole shareholder (participant), resolutions adopted by the sole shareholder (participant), other information on the issuer's resolution shall be indicated.	within ten (10) working days after the date of the decision resolution of the general meeting of shareholders (participants) or by the sole shareholder (by participant).	Corporate Secretary (providing a statement)	CD&RM
2.	Information on the election of a body management (supervisory board), executive body (person, the issuer with indication of the composition of the management body (supervisory board), executive body (supervisory board), executive body (supervisory board), executive body (supervisory board), executive body (supervisory board) body (person, the issuer's sole executive body, as well as changes in the issuer's	1) the date of the decision to elect (appoint) or change the composition of the issuer's body, specifying the name of the issuer's body that made the decision. If early termination of powers of a member of the management body and (or) executive body is carried out at their initiative, the date of receipt of written notice by the issuer's management body shall be indicated; 2) name of the issuer's body, the composition of which was elected (appointed) or changed; 3) composition of the issuer's body or the essence of changes in the composition of the issuer's body: surname, name, patronymic (if any) of the persons elected (appointed) to the body, surname, name, patronymic (if any) of the person elected (appointed) to the body, surname, name, patronymic (if any) of the person elected (appointed) to the body (appointed) to the body, as well as removed from the body; 4) the composition of the issuer's body, taking into account the changes made thereto, indicating the surname, first name, patronymic (if any)	within 10 (ten) business days after the date of the decision to elect (appoint) or change the composition of the issuer's body or after the date of receipt of written notice by the issuer's management body.	Corporate Secretary (providing a statement)	CD&RM

	as part of body management (supervisory board), executive body (person, solely exercising the functions of the executive body) of the issuer	availability) of each person who is a member of the issuer's body; 5) percentage ratio of voting shares (participatory interests in the authorized capital) owned by an elected (appointed) member of the Issuer's Board of Directors (Supervisory Board) to the total number of voting shares (participatory interests in the authorized capital) of the Issuer; 6) the percentage of shares (participatory interests in the authorized capital) owned by the elected (appointed) member of the Issuer's Board of Directors in subsidiaries to the total number of outstanding shares (participatory interests in the authorized capital) of these organizations. If the general meeting of shareholders (participants) and (or) the Board of Directors (Supervisory Board) of the Issuer decides only to change the composition of the Issuer's bodies, information on this decision shall be posted only in accordance with paragraph 2 of this Appendix with an indication thereof.			
3.	Information on tership composition of tinterests participants, anc changes in the composition of t participants owr and more than the issuer's shares	1) composition of participants owning ten percent or more of the issuer's participation interests: for a physical person - surname, first name, patronymic (if any) of the person; for a legal entity - full name and place of location of a legal entity; 2) date of change in the composition of participants holding ten percent or more of the Issuer's participation interest (date of registration of changes in the system of securities holders' registers or the nominee holding accounting system or the National Register of Business Identification Numbers); 3) the essence of changes in the composition of the issuer's participants: for an individual - surname, first name, patronymic (if any) of the person included in the list of participants or excluded from the list of participants; for a legal entity - full name and location of the legal entity included in the list of participants or excluded from the list of participants.	within 3 (three) business days after the date of receipt the issuer of documents confirming registration of changes in the system of registers of securities holders or the system of accounting accounting system nominal holdings or the National Registry of business identification numbers.	Corporate Secretary (providing a statement)	CD&RM

4.	Information on the resolution adopted by the issuer's board of directors or the relevant body of the issuer, not being a joint stock company by the company authorized to make a decision on convening annual and extraordinary general meetings	1) date of decision; 2) a resolution adopted by the Board of Directors or a relevant body of the issuer, which is not a joint stock company, authorized to make a decision on convening annual and extraordinary general meetings of shareholders (participants); 3) other information, if necessary.	within ten (10) working days after the date of the decision decision by the issuer's board of directors or the relevant body of the issuer, which is not a joint stock company, authorized to adopt the this	Corporate Secretary (providing a statement)	CD&RM
	meetings shareholders (participants)		decisions.		
5.	Information on the decision, adopted by the Board of Board of Directors of the joint-stock company societies o placement (sale) of shares, including the number of shares to be placed (sold) shares within the limits of the number of authorized shares, method and price of their placement (realization)	1) date of decision; 2) decision (decisions), made (adopted) by the board of directors; 3) kind и quantity accommodated (exercisable) shares; 4) method of placement; 5) placement (sale) price; 6) other information, if necessary.	within ten (10) working days after the date of the decision decision by the Board of Directors of the Joint-Stock Company.	LD	CD&RM
6.	Information on the decision, adopted by the Board of Board of Directors of the joint-stock company o redemption the company's outstanding shares, if the number of shares to be repurchased by the company exceeds one percent of the total number of shares. of outstanding shares and their redemption price	1) date of decision; 2) decision(s) adopted by the Company's Board of Directors; 3) type and number of shares to be repurchased at the initiative of the company; 4) the price of shares repurchased at the initiative of the company; 5) term and conditions of share repurchase at the initiative of the company; 6) other information, if necessary.	within ten (10) working days after the date of the decision decision by the Board of Directors of the Joint-Stock Company.	LD	OCR&R

7.	Information on the resolution adopted by the issuer's board of directors or the relevant body of the issuer, not being a joint stock company by the company authorized to make a decision on the issue of bonds and derivative securities	1) date of decision; 2) decision(s) adopted by the Board of Directors or a relevant body of the issuer, which is not a joint stock company, authorized to make the decision; 3) number and type of bonds and derivative securities to be issued; 4) nominal value and currency of the nominal value; 5) output volume; 6) other information, if necessary.	within ten (10) working days after the date of the decision resolution by the Issuer's Board of Directors or a relevant body of the Issuer, which is not a joint stock company, authorized to make the decision. this decision.	EM	OCR&R
8.	Information on the decision adopted by the general meeting shareholders (sole shareholder) or by the board directors of the joint-stock company societies on the conclusion of major transactions and (or) transactions that meet the following conditions at the same time: they are transactions, in the execution of which the joint-stock company has transactions in the conclusion of which the joint-stock company the company has an interest, and are related to c	1) the date of the decision on a major transaction and (or) a transaction with a as an interested party; 2) decision(s) adopted by the general meeting of shareholders (sole shareholder) or the Board of Directors of the joint-stock company; 3) type of transaction; 4) subject of the transaction; 5) the percentage ratio of the value of property being the subject of a major transaction and (or) related-party transaction to the total value of assets of the joint-stock company as of the date when the joint-stock company's body made a decision to enter into such a transaction. If the resolution to enter into a major transaction and (or) related-party transaction contains information constituting	within 3 (three) working days after the date of adoption of the resolution by the General Meeting of Shareholders by the meeting of shareholders (sole shareholder) or the Board of Directors of the joint stock company.	SSC supervising the relevant transaction (areas of AO's activities "AlES")	CD&RM
	acquisition or alienation of property with a value of ten и more than ten percent of the total book value assets of the joint-stock company societies as of date decision by the authorized body of the joint-stock company to conclude such transactions	banking secret, insurance secret, commercial secret on the securities market and other secret protected by the laws of the Republic of Kazakhstan, only the date of decision-making on conclusion of a major transaction and (or) related-party transaction, as well as other information by decision of the joint-stock company with the consent of the counterparty shall be published at information placement. When several decisions on conclusion of a major transaction and (or) related-party transaction are made by the joint-stock company within one business day, it is allowed to provide information on such decisions by means of submission by the joint-stock company of one application containing information on several such decisions.			

9.	Information on the list, and (or) changes in the list of organizations in which the issuer holds ten or more percent of shares (stakes, units) of each such organization. organizations	1) list of organizations in which the issuer holds ten or more percent of shares (stakes, units) of each such organization organizations; 2) full name of the organization in which the issuer began to possess (ceased to possess) ten or more percent of shares (stakes, units); 3) percentage ratio of the number of shares (stakes, units) of this organization to the total number of outstanding shares (stakes, units) of the organization as of the date following the date of change in the list of organizations in which the issuer holds ten or more percent of shares (shares, units).	within 3 (three) business days after the date of receipt documents confirming that the issuer began to possess (ceased to possess) ten or more percent of shares (stakes, units) in the organization.	LD	OCR&R
10.	Information o execution by the joint-stock company of major transactions and (or) transactions that meet the following conditions at the same time: they are transactions in which the joint-stock company has transactions in which the joint-stock company by the company is an interested party, and are related to related to the acquisition or alienation of property, the value of which is ten и more than ten percent of the total book value of the total book value of the joint stock company's assets as of date decision by the authorized body of the joint-stock company to conclude such transactions	1) the date of conclusion of an agreement on a major transaction and (or) a related-party transaction as of the date of adoption by the authorized body of the Company of a decision to conclude such a transaction or an additional agreement (additional agreement to the agreement); 2) the subject of a major transaction and (or) related-party transaction; 3) the date on which the Company's body made a decision to enter into a major transaction and (or) a transaction with a as an interested party; 4) the value of property that is the subject of a major transaction and (or) related-party transaction as of the date on which the Company's body made a decision to enter into a major transaction and (or) related-party transaction; 5) the date of the last valuation of the property that is the subject of a major transaction and (or) related-party transaction, except for cases when the subject of the transaction is money and (or) securities issued (placed) on the primary market); 6) the percentage ratio of the value of the property that is the subject of a major transaction and (or) related-party transaction to the total value of the transaction	Within three (3) business days after the date: conclusion by the joint-stock company of an agreement or additional agreements to the agreement; receipt by the joint-stock company of documents confirming its state registration; and or other registration in the event that the conclusion of a major transaction and (or) a transaction with a c interest ю, necessary mandatory state or other registration.	SSC supervising the relevant transaction (areas of AO's activities "AlES")	OCR&R

		assets of this joint-stock company, as of the date when the joint-stock company's body made a decision to enter into a major transaction and (or) a transaction with a as an interested party; 7) information on the parties to a major transaction and (or) related-party transaction, acquired or alienated assets, terms and conditions of the transaction, nature and volume of participation shares of the persons involved, as well as other information on the transaction, if any. If the agreement on conclusion by the joint-stock company of a major transaction and (or) a related-party transaction contains information constituting a banking secret, insurance secret, commercial secret on the securities market and other secret protected by the laws of the Republic of Kazakhstan, only the date of conclusion, as well as other information by decision of the joint-stock company in the presence of the consent of the counterparty shall be published when placing information on transactions. If several major transactions and (or) related-party transactions are concluded within one business day, it is allowed to provide information on such transactions by means of submission by the joint-stock company of one application containing information on several such transactions.			
11.	Information on the court decision on coercive liquidation or reorganization of the issuer, as well as on compulsory liquidation or reorganization of its subsidiaries and affiliated organizations	1) date of the decision on forced liquidation or reorganization of the Issuer, subsidiary and dependent organization; 2) name of the state body that made the decision on forced liquidation or reorganization of the Issuer, subsidiary and dependent organization; 3) grounds for the decision on forced liquidation or reorganization of the Issuer, subsidiary and dependent organization; 4) location and name of the Issuer's subsidiary and (or) dependent organization being liquidated or reorganized; 5) form and terms of liquidation or reorganization of the issuer, the issuer's subsidiary and affiliated company organizations; 6) other conditions of forced liquidation or reorganization of the Issuer, the Issuer's subsidiary and affiliate.	within ten (10) business days after the date of entry into force of the relevant court decision. of the relevant court decision.	LD	OCR&R

12.	Information on pledging (re-pledge) of the issuer's property for an amount equal to ten percent or more of the total book value of the issuer's assets, as well as	1) date of adoption by the issuer's body decisions on pledging (re-pledging); 2) date when the right to pledge (re-pledge) the issuer's property arose; 3) value of the issuer's property pledged (re-pledged); 4) date of the last appraisal of the issuer's property to be pledged (re-pledged) (if such appraisal is required by the	Within three (3) business days after the date: receipt by the issuer of documents confirming the registration of the agreement o pledge (re-pledging)	EM	OCR&R
	withdrawal c pledg (re-pledge) of the issuer's property for an amount equal to ten percent or more of the total book value of assets of this issuer	by law of the Republic of Kazakhstan); 5) the percentage ratio of the value of the property pledged (re-pledged) to the total value of assets of this issuer as of the date when the issuer's body adopted the decision to pledge (re-pledge) the issuer's property; 6) the date of removal of the pledge (re-pledge). If the Issuer's transaction on pledging (re-pledging) the Issuer's property is simultaneously a major transaction and (or) a transaction in which the Issuer is an interested party, the information on such transaction shall be placed only in accordance with paragraph 12 of this Appendix with an indication thereof. If the agreement on pledging (re-pledging) the issuer's property contains information constituting bank secrecy, insurance secrecy, commercial secrecy on the securities market and other secrecy protected by the laws of the Republic of Kazakhstan, only the date of conclusion of the agreement, as well as other information by the issuer's decision if the counterparty consents.	of the issuer's property by amount, which is ten и more than of the amount total book value of the assets of this issuer - with respect to the property, pledge of which subject to registration in accordance with c legislative acts of the Republic of Kazakhstan; conclusion of an agreement on pledge (re-pledge) of the issuer's property for the amount of amount, amounting to ten и more than of the amount total book value of the assets of this issuer - in respect of the property, pledge of which not subject to registration in accordance with legislative acts of the Republic Kazakhstan; receipt by the issuer of documents confirming the withdrawal of the c pledge (re-pledge) of the issuer's property for amount,		

			which is ten и more than of the amount total book value of the assets of this issuer.	

13.	Information on seizure of property (removal of property seizure) of the Issuer, the value of which is ten and more percent of the total book value of assets issuer	1) date, number of the resolution on seizure of the Issuer's property (removal of property seizure), the value of which is ten or more percent of the total book value of the Issuer's assets; 2) name of the state body that made the decision to arrest (de-arrest) the issuer's property, the value of which is ten or more percent of the total book value of the issuer's assets, the basis for the arrest; 3) name of the issuer's property that was arrested (de-arrested) and its	Within 3 (three) business days after the date of receipt of the decision to seize (de-seize) the property of the issuer, the value of which is ten or more percent of the total book value of the issuer's assets.	EM, SSC in charge of (competencies) of which is relevant property	OCR&R
		percentage ratio to the total value of the company's assets as of the date of arrest (de-arrest) of the company's property. In case of arrest (de-arrest) of the debtor's money held in banks, organizations performing certain types of banking operations - indication of the amount of money on which the arrest (de-arrest) was imposed (de-arrested). In case of arrest (de-arrest) on securities of the issuer - total number of arrested (de-arrested) securities, their type, identification number, information on the issuer of these securities.			

14.	Information on the about receipt by the issuer and by a loan in the amount twenty-five or more percent of the total book value of the issuer's assets, as wel as on the full repayment of the principal debt of the accrued interest on th loan	1) the date when the issuer's body made a decision to obtain a loan (credit line); 2) the date of conclusion of the loan (credit line) agreement; 3) the total amount of the issuer's loan (credit line) determined by the agreement; 4) the purpose for which the issuer is obtaining the loan; 5) the percentage ratio of the amount of the loan (credit line) received by the issuer to the total book value of the issuer's assets as of the date of the issuer's body's decision to receive the loan; 6) the date of full repayment of the principal and accrued interest on this loan (credit line). If the issuer enters into a credit line that constitutes twenty-five and more percent of the total book value of the issuer's assets, information on this event shall be additionally disclosed when the total amount of loans issued and outstanding, including accrued interest, under this credit line reaches twenty-five and more percent of the total book value of the issuer's assets. If a loan transaction made by the Issuer is simultaneously a major transaction and (or) a transaction in which the Issuer is an interested party, the information on such transaction shall be placed only in accordance with item 14 of this Appendix with an indication thereof. If the agreement on obtaining a loan by the issuer contains information constituting a banking secret, insurance secret, commercial secret on the securities market and other secret protected by the laws of the Republic of Kazakhstan, when placing information on obtaining a loan by the issuer, only the date of conclusion of the agreement shall be published, as well as other information by decision of the issuer if the counterparty consents.	Within three (3) business days after the date: the issuer's entering into a loan or line of credit agreement in the amount of, amounting to, uh, twenty five and more than twenty-five percent total book value issuer's assets or full repayment by the issuer of the principal debt and accrued interest on this loan or credit line; when the total amount received and outstanding loans c accrued the amount of interest under one credit line will reach twenty-five percent or more of the amount of total book value of the issuer's assets.	EM	OCR&R
15.	Information on the o onset	(1) The date of the occurrence of circumstances of an extraordinary nature,	within ten (10) business days after	LD, SSC in charge of	OCR&R

	circumstances of an extraordinary nature, as a result of which were destroyed property of the issuer, the book value of which amounted to ten и more than ten percent of the total assets issuer	resulting in destruction of the issuer's property; 2) name of the issuer's property destroyed due to the occurrence of extraordinary circumstances; 3) book value of the Issuer's destroyed property as a result of extraordinary circumstances.	dates the date of occurrence of circumstances of an extraordinary nature that resulted in the destruction of the Issuer's property, the book value of which amounted to ten percent or more of the total assets of the Issuer. of the Issuer's assets.	(competencies) of which is relevant property	
16.	Information on court proceedings on corporate dispute	1) information on the plaintiff, defendant in a corporate dispute (surname, name, patronymic (if any) (for an individual), full name, location (for a legal entity)); 2) date of the statement of claim, summary of the claims; 3) name of the court in which the statement of claim on the corporate dispute is considered.	within 3 (three) business days after the date of receipt the issuer of the relevant court notice (summons) in the civil case on a corporate dispute.	LD, interested SSC	OCR&R
17.	Information on o obtaining (termination, suspension) of first category authorizations	1) name of the licensing authority that issued the first category permit, adopting decision on suspension, termination of the first category permit; 2) date of issuance, number of the first category permit, validity of the permit; 3) the name of the activity to be carried out under the first category permit; 4) date of decision-making by the permitting authority on suspension (suspension period), date of renewal of the first category permit; 5) Grounds for suspension (renewal) of the authorization of the first categories; 6) name of the type of activity, the permit for which was suspended (renewed); 7) date acceptance licensing authority decision o termination of the first category permit; 8) reason termination of the first category authorization; 9) name of the type of activity, the authorization to carry out which has been terminated.	within 3 (three) business days after the date of receipt issuer documents o obtaining (termination, suspension) of authorizations of the first category.	LD	OCR&R

18.	Information on changes in the issuer's non-state securities of the main types activities	1) the date of the decision to change the main types of activities; 2) name modified species activities; 3) date of state registration of the charter and (or) amendments to the charter of the issuer; 4) a scanned copy of the Articles of Association and (or) amendments to the Articles of Association.	within 3 (three) business days after the date of receipt the Charter and (or) amendments to the Charter registered with the authorized body.	LD	OCR&R
19	Methodology for determining the value of shares upon their redemption joint-stock	1) date of the resolution adopted by the general meeting of founders (sole founder) 2) date of the decision taken	within ten (10) business days after the date of receipt documents,	LD	OCR&R
	by a company on the unorganized securities market	general general meeting shareholders (sole the Company's general meeting of shareholders (sole shareholder) on approval of the methodology, if it was not approved by the founding meeting; 3) the date of the resolution adopted by the General Meeting of Shareholders (sole shareholder) of the Joint-Stock Company general general meeting shareholders (sole the General Meeting of Shareholders (the sole shareholder) of the Joint-Stock Company on approval of amendments to the methodology; 4) electronic version of the methodology in PDF format with all amendments and additions	confirming the state registration of the prospectus by the authorized body prospectus of issue shares, in case of approval of the methodology on constituent assembly (sole founder) joint-stock the Company or within 10 (ten) business days after the date of adoption of the resolution by the General Meeting of Shareholders. Shareholders' meeting (sole Shareholder) in case the methodology and (or) amendments to the methodology are approved at the General Meeting of Shareholders the company's shareholders meeting (the sole shareholder).		

20.	Information on other events affecting the interests of the Issuer's shareholders (participants) and (or) investors, in accordance with the Charter and the Issuer's equity securities issue prospectus	1) information affecting the interests of the issuer's shareholders (participants) and (or) investors, in accordance with the Charter and prospectus of the issuance of equity securities of the issuer's securities; 2) in case of non-compliance of the issuer with the conditions stipulated by the prospectus of non-state bonds issue: volume of defaulted obligations, reason for default, specifying national identification number (NIN) or international identification number (ISIN) of bonds, type of bonds, number of placed bonds, amount of coupon rate, date of default, amount of debt on coupon interest, amount of debt on principal debt, balance of unsettled debt.	within three (3) business days after the date of occurrence of other events, affecting the interests of the issuer's shareholders (participants) и (or) investors, in accordance with in accordance with the charter and prospectus of the issue of equity securities securities of the issuer, if Law of the Republic of Kazakhstan "On joint-stock societies" and the o securities market securities other terms for bringing information to the attention of securities holders are not stipulated. within 3 (three) business days after the date of non-compliance of the issuer with the conditions stipulated by the prospectus of the issue of non-state bonds.	TD (on bonds), LD (on shares)	OCR&R
21	Information on	Information on the total amount of all	until August 31	HRM (HSE);	OCR&R
	the total amount of remuneration to the members of the executive body based on the results of the year	payments made by the Company in favor of the members of the executive body in the reporting period, including information on the total amount of salaries and all types of incentives in monetary form paid by the Company to the members of the executive body while they were members of the executive body in the reporting period.	of the year following reporting year	A&RD	
22	Lists of affiliates	Information on affiliates of the joint-stock company in the form according to the appendix to the Rules as of January 1, April 1, July 1, and October 1 of the reporting year	not later than January 31, April 30, July 31 and October 31 of the reporting year	LD	LD

23	Annual financial statements	Annual financial statements prepared in accordance with the Minister of Finance finances of the Republic Kazakhstan from January 28, 2022 № 94 "On Approval of the Rules of submission of financial statements by public interest organizations to the depository", registered in the Register of State Registration of Regulatory Legal Acts under No. 26686.	by August 31 of the year following the reporting year - for placement on the DFO	A&RD, LD (stock information, executive body, licenses)	UBU&
24	Audit report	Auditor's report made in accordance with the order of the Minister of Finance of the Republic of Kazakhstan dated January 28, 2022, No. 94 "On Approval of the Rules of Submission of Financial Statements by Public Interest Organizations to the Depository", registered in the Register of State Registration of Regulatory Legal Acts under No. 26686.	by August 31 of the year following the reporting year - for placement on the DFO	A&RD	UBU&
25	Quarterly financial statements (Issuer whose securities are included in the official list stock exchanges	Balance sheet and income statement prepared in accordance with the forms, approved by the order of the Minister of Finance of the Republic of Kazakhstan dated June 28, 2017 № 404 "On approval of the list and forms of annual financial statements for publication by public interest organizations (except financial organizations)", registered in the Register of state registration of normative legal acts under № 15384 - for a joint stock company, for except for the financial institution;	quarterly within 30 (thirty) business days following the last day of the reporting quarter	A&RD	UBU&

Appendix 2.

List of information to be provided to OCR&M for the formation of the Annual Report of JSC "AlES"

№ n/a	Name of information (for the year)	Deadline for submission to OCR&M	Responsible executors
1	Address by the Chairman of the Board of Directors	At the request of CRD&M, within 3 working days	CD&RM
2	Address by the Chairman of the Management Board	At the request of CRD&M, within 3 working days	CD&RM
3	**Company Information:**		
3.1	– general information;	At the request of CRD&M, within 3 working days	CD&RM, interested SSCs

3.2	– history of development;	At the request of CRD&RM, within 3 working days	CD&RM, interested SSCs
3.3	– mission, vision, strategy;	At the request of CRD&RM, within 3 working days	CD&RM, interested SSCs
3.4	– major significant events and achievements during the reporting period;	At the request of CRD&RM, within 3 working days	CD&RM, interested SPOs
3.5	– Market overview and the company's position in the market. Analysis of the current situation;	At the request of CRD&RM, within 3 working days	DU
3.6	– energy saving and energy efficiency	At the request of CRD&RM, within 3 working days	TU
3.7	– analyzing the external and internal environment.	At the request of CRD&RM, within 3 working days	DU
4	**Financial and economic indicators for the reporting period:**		
4.1	– financial performance;	At the request of SRD&M, within 3 working days	EM
4.2	– revenues;	At the request of SRD&M, within 3 working days	EM
4.3	– expenditures;	At the request of SRD&M, within 3 working days	EM
4.4	– liquidity and financial stability indicators;	At the request of SRD&M, within 3 working days	EM
4.5	– the structure of equity and debt capital;	At the request of SRD&M, within 3 working days	EM
4.6	– equity capital structure and securities;	At the request of SRD&M, within 3 working days	TD, LD
4.7	– asset structure.	At the request of SRD&M, within 3 working days	EM
5	Investment activities	At the request of SRD&M, within 3 working days	PU
6	Main risk factors and risk management system	At the request of SRD&M, within 3 working days	OR
7	Corporate governance	At the request of SRD&M, within 3 working days	CRD&M
8	Board of Directors of JSC AlES. Report on the activity of the Board of Directors	At the request of SRD&M, within 3 working days	Corporate Secretary
9	Information on the activities of the Management Board	At the request of SRD&M, within 3 working days	Secretary of the Board
10	Information on compliance/non-compliance with the corporate governance code	At the request of SRD&M, within 3 working days	CRD&M
№ n/a	**Name of information (for the year)**	**Deadline for submission to SRD&M**	**Responsible executors**

11	ESG	At the request of OCR&M, within 3 working days	CD&RM, interested SSCs
12	Personnel policy	At the request of OCR&M, within 3 working days	HRM
13	Ombudsman. Conflict management	At the request of OCR&M, within 3 working days	Ombudsman, HRM
14	Level of occupational injuries and occupational diseases	At the request of OCR&M, within 3 working days	OHSD
15	Nature protection. Rational use of water resources	At the request of OCR&M, within 3 working days	EM

Note: this list is not exhaustive; if other information is required, the CRD has the right to request it from the relevant SSCs, which provide the information within the deadlines specified by the CRD